

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/879,660         | 06/12/2001          | Eric Dupont           | 014985.0012            |

CONFIRMATION NO. 2949

## FORMALITIES LETTER



\*OC00000006501458\*

20594  
RANDALL C BROWN  
AKIN GUMP STRAUSS HAUER & FELD  
P O BOX 688  
DALLAS, TX 75313

Date Mailed: 08/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

09/20/2001 EABUBAK1 00000015 09879660

01 FC:201  
02 FC:205

355.00 OP  
65.00 OP



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SECTOR  
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Eric Dupont et al.

For: LOW MOLECULAR WEIGHT COMPONENTS OF CARTILAGE,  
COMPLEXES OF METALS WITH AMINO ACIDS, DI-PEPTIDES AND  
ANALOGS THEREOF; PROCESSES FOR PREPARATION AND  
THERAPEUTIC USES THEREOF

Serial No.: 09/879,660

Examiner: N/A

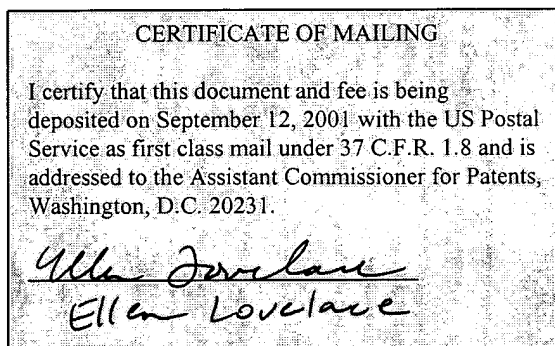
Filed: June 12, 2001

Group Art Unit: N/A

Docket No.: 014985.0012

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:



**RESPONSE TO NOTICE TO FILE MISSING PARTS OF**

**APPLICATION-FILING DATE GRANTED**

In response to the Notice to File Missing Parts Of Application-Filing Date Granted dated August 31, 2001, Applicant states that the application **qualifies for small entity status** under 37 CFR § 1.27(a)(1) and (2), and submits herewith the following:

- 1) a copy of Notice to File Missing Parts of Nonprovisional Application;
- 2) executed Declaration;
- 3) Recordation Form Cover Sheet and Assignment;

- 4) a check in the amount of \$460.00 (\$355.00 for the filing fee under 37 CFR § 1.27; \$65.00 for the surcharge under 37 CFR § 1.16(e), and \$40.00 for assignment recordation fee; and
- 5) return postcard.

The Commissioner of Patents and Trademarks is hereby authorized to charge any fee deficiency or to credit any fee overpayment relating to this matter to Deposit Account No. 01-0657.

A copy of this sheet is enclosed.

Respectfully submitted,

Dated: September 12, 2001

By: 

Randall C. Brown  
Attorney for Applicants  
Registration. No. 31,213  
Akin, Gump, Strauss, Hauer & Feld, L.L.P.  
P.O. Box 688  
Dallas, Texas 75313-0688  
(214)969-4769